ATTACHMENT 009

SECURITY STATEMENT OF WORK (SOW)
PHYSICAL SECURITY STANDARDS FOR DOD SECURITY RISK CATEGORY III/IV AMMUNITION AND EXPLOSIVES (A&E) AT CONTRACTOR-OWNED, CONTRACTOR-OPERATED (COCO) FACILITIES
(from DoD 5100.76M, Appendix 2)

1. Facility Construction. This command will make a Suitability Determination of structural adequacy on production and storage facilities used by the contractor. The contractor shall store CAT III/IV A&E in approved magazines, Type 2 portable magazines, or existing facilities approved by the procuring command. The required locking system is the Sargent & Greenleaf 833C with NAPEC shrouded hasp, except on doors of Type 2 magazines, which follow BATF locking requirements.

2. Lock and key control. The contractor shall implement a control system that ensures accountability and control of storage structure locks and keys. The contractor shall maintain keys to AA&E storage areas and IDS separately from other keys. He should allow only those individuals authorized access to the AA&E keys. The number of keys should be held to the absolute minimum and signed for by authorized employees. When not attended or used, the contractor shall secure keys providing access to CAT III/IV AA&E in key containers of at least 20-gauge steel with either a GSA-approved built-in changeable combination lock or a GSA-approved key-operated low-security padlock. He shall provide the same level of security to replacement or spare locks, keys and cores for AA&E keys. Master keying of locks and the use of a master key system is prohibited. The contractor shall appoint a key and lock custodian in writing, who shall maintain a key control register to ensure continuous administrative accountability for the keys. He shall perform semiannual key and lock inventories. The custodian shall maintain AA&E registers, issue/turn-in records, and inventories separate from administrative keys reports and for a minimum of one year.

3. Access controls. The contractor shall ensure that vehicular and pedestrian entry into and exit from the production and storage areas is controlled. Privately-owned vehicles shall not be parked within 100 feet of storage and production structures.

4. Security Force. Security patrols may consist of civilian security personnel, state or local police, or responsible contractor employees. Where a public law enforcement agency has agreed to perform this function, the contractor shall maintain a current letter of agreement to that effect from the agency. A patrol shall periodically check facilities and areas used to store AA&E. He shall increase patrols and inspections of facilities

during nights, weekends, holidays, and when local threat conditions warrant. The contractor shall establish liaison with local civil police agencies to conduct periodic surveillance and coordinate a security plan.

5. Fencing. Not required for CAT III/IV A&E.

6. Lighting. Not required for CAT III/IV A&E.

7. Intrusion Detection System. Not required for CAT III/IV A&E structures.

8. Response Force. Not required for CAT III/IV A&E.

9. Additional Security Measures. During periods when the production line is unattended, the contractor shall remove sensitive A&E to approved storage areas or protect the production line. If used as overnight or temporary storage, the production building must meet the storage criteria construction requirements cited in 1.a.

10. Accountability. The contractor shall have an accountability system for both sensitive A&E components and end items produced under a DOD contract, or furnished or released to a contractor by the DOD. He shall provide the government a written description of his accountability procedures. He shall review accountability records and conduct quarterly inventories for bulk storage.

11. Security Procedures. The contractor shall develop written security procedures designed to ensure security standards in keeping with this security SOW. The contractor shall keep these procedures available at his facility for review by government representatives.

12. Transportation. The contractor shall transport sensitive AA&E as prescribed in the contract.

13. Security Surveys and Inspections. To ensure that a prospective contractor will satisfy physical security requirements of this security SOW, government security personnel (usually Defense Security Service (DSS)) will perform a preaward security survey. The DSS office will perform security inspections at recurring 18-month intervals during the period of the contract to ensure compliance with this security SOW.

14. Exceptions/Waivers. The contractor shall submit written requests for exceptions/waivers to the security requirements of this security SOW to the Government PCO, coordinating with DSS and AMSOS-SC (Provost Marshal Office). The PCO shall forward such

requests to AMSOS-SC, who will either endorse the request up to Department of Army (DA) for decision, or request further information from the contractor. DA normally grants waivers for a period of 1 year and may extend only after review of the contractor's request for extension. They grant exceptions on a more permanent basis. Requests for exceptions/ waivers shall include a statement as to why the contractor cannot meet requirements and outline compensatory measures proposed by the contractor to provide equivalent or better protection than the original standard. The request for waiver shall also provide details of an upgrade project design to correct waived deficiencies, as well as the expected date of project completion. The AMSOS-SC office will furnish copies of approved exceptions/waivers to the applicable DSS office.

15. Significant Incidents Pertaining to AA&E. The contractor shall report all losses and thefts of sensitive AA&E as soon as discovered to local law enforcement agencies. The contractor shall notify the following within 72 hours of initial discovery: BATF, FBI, AMSOS-CC, AMSOS-SC, and DSS. The contractor shall report all AA&E thefts and losses; any armed robbery or attempted armed robbery; forced entry or attempted forced entry with physical evidence of the attempt; evidence of terrorist involvement; or illegal trafficking in sensitive AA&E.